

TIME FRAMES

AUDIT RESOLUTION PROCESS FOR FEDERAL AID GRANT AUDITS

Note: The OIG allows 90 calendar days for bureaus to prepare a corrective action plan. The number of days indicated below is the established maximum time period for each resolution phase.

CALENDAR DAY	RESPONSIBLE ORGANIZATION	ACTION/COMMENTS
1	OIG	OIG issues final audit report. <i>(Resolution time tracking process starts.)</i>
2 - 45	RO/State/ WO (FA)	RO prepares draft CAP in coordination with State and WO Division of Federal Aid. Submits the draft CAP to the AD-MBSP, attention: FA. <i>(RO must complete action with 45 calendar days from OIG issuance of final report.)</i>
46 - 75	WO (FA/AD-MBSP)	FA reviews the draft CAP and submits to the AD-MBSP for concurrence and return to the RO. <i>(WO must complete action within 30 calendar days of date that RO forwards report to WO.)</i>
76 - 90	AD-MBSP/D OIG	If disagreement exists between the RO and WO, the AD-MBSP forwards the draft CAP to the Director for decision and formally requests a 30-day extension from the OIG. <i>(WO must complete action prior to 90-day resolution time frame.)</i>
76 - 120	RO	RO prepares final CAP for Regional Director signature. RO transmits original to OIG with copies to the WO and the State within 2 weeks of WO decision. <i>(RO must complete action within 2 weeks of WO decision.) *</i>
90 -120	OIG	OIG reviews the final CAP and notifies PFM that either: <ul style="list-style-type: none"> – Recommendations are placed in tracking with PFM, or – FWS has failed to resolve the audit.
120+	PFM/FWS	PFM works with FWS to track audit until all resolution actions are complete.

*** Appeal Process:** If the Region cannot resolve the audit, the State may appeal to the Director, Fish and Wildlife Service (see sections 11 through 13, Director's Order 129).

Legend:

AD-MBSP	-	Assistant Director - Migratory Birds and State Programs
D	-	Director
FA	-	Division of Federal Aid, WO
FWS	-	Fish and Wildlife Service
OIG	-	Office of the Inspector General
PFM	-	Office of Financial Management (Department)
RD	-	Regional Director
RO	-	Regional Office
State	-	State fish and game agency
WO	-	Washington Office